# Hilbert's Nullstellensatz and an Algorithm for proving Combinatorial Infeasibility 

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joint work with J. De Loera, J. Lee and S. Margulies

MIP 2008

August 4th, 2008
*Research partly funded by an IBM OCR grant and the NSF.

## Modeling combinatorial optimization problems

- Traditional approach: Model combinatorial optimization problems by linear equalities and inequalities, and integrality constraints.
- Solve model using branch-and-cut approach is the basis of modern discrete optimization.
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## Modeling combinatorial optimization problems

- Traditional approach: Model combinatorial optimization problems by linear equalities and inequalities, and integrality constraints.
- Solve model using branch-and-cut approach is the basis of modern discrete optimization.
- Very successful, but ... we are looking for alternatives.
- Another paradigm: Model combinatorial optimization problems by non-linear polynomial equalities and inequalities.
- Solve model using other tools (e.g SDP, algebraic geometry, number theory, etc).


## Modeling combinatorial optimization problems...

- From work by Shor (87), Nesterov, Lasserre, Laurent and Parrilo (2000-), we can solve a polynomial optimization problem by a growing sequence of semi-definite relaxations.
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## What are we going to talk about today?

- We can solve a polynomial feasibility problem with only equality constraints by a growing sequence of linear algebra relaxations.
- We will talk about the complexity and practicality of this approach.


## A typical combinatorial feasibility problem

- Independent Set: Given a graph $G$ and an integer $k$, does there exist a subset of the vertices of size $k$ such that no two vertices in the subset are adjacent?
- Recall, the independence number of a graph is the size of the largest independent set in the graph and is written $\alpha(G)$.


## A typical combinatorial feasibility problem

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- Recall, the independence number of a graph is the size of the largest independent set in the graph and is written $\alpha(G)$.
- The Turán Graph $T(5,3)$ has no independent set of size 3 .



## Independent set modeled by a polynomial system

Given a graph $G$ and an integer $k$ :

- One variable $x_{i}$ per vertex $i \in\{1, \ldots, n\}$.
- For every vertex $i=1, \ldots, n$, let $x_{i}^{2}-x_{i}=0$
- For every edge $(i, j) \in E$, let $x_{i} x_{j}=0$
- Finally, let

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\sum_{i=1}^{n} x_{i}-k=0
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- Theorem: (Lovász) Let $k$ be an integer and let $G$ be a graph encoded as the above system of equations. This system has a solution if and only if $G$ has an independent set of size $k$.


## Turán graph $T(5,3): \Longrightarrow$ system of polynomial equations



- The following system of equations has a solution if and only if $T(5,3)$ has an independent set of size 3.

$$
\begin{gathered}
x_{1}^{2}-x_{1}=0, x_{2}^{2}-x_{2}=0, x_{3}^{2}-x_{3}=0, x_{4}^{2}-x_{4}=0, x_{5}^{2}-x_{5}=0, \\
x_{1} x_{3}=0, x_{1} x_{4}=0, x_{1} x_{5}=0, x_{2} x_{3}=0 \\
x_{2} x_{4}=0, x_{2} x_{5}=0, x_{3} x_{5}=0, x_{4} x_{5}=0 \\
x_{1}+x_{3}+x_{5}+x_{2}+x_{4}-3=0
\end{gathered}
$$

## Another typical combinatorial feasibility problem

- Graph vertex coloring: Given a graph $G$ and an integer $k$, can the vertices be colored with $k$ colors in such a way that no two adjacent vertices are the same color?
- E.g. the Petersen Graph is 3-colorable.



## Graph coloring modeled by a polynomial system

- One variable $x_{i}$ per vertex $i \in\{1, \ldots, n\}$.
- Vertex polynomials: For every vertex $i=1, \ldots, n$,

$$
x_{i}^{k}-1=0 .
$$

- Edge polynomials: For every edge $(i, j) \in E$,

$$
x_{i}^{k-1}+x_{i}^{k-2} x_{j}+\cdots+x_{i} x_{j}^{k-2}+x_{j}^{k-1}=0 .
$$

Note that

$$
x_{i}^{k}-x_{j}^{k}=\left(x_{i}-x_{j}\right)\left(x_{i}^{k-1}+x_{i}^{k-2} x_{j}+\cdots+x_{i} x_{j}^{k-2}+x_{j}^{k-1}\right)=0 .
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- Theorem: (D. Bayer) Let $k$ be an integer and let $G$ be a graph encoded as vertex and edge polynomials as above. This system of polynomial equations has a solution if and only if $G$ is $k$-colorable.


## E.g. Petersen graph polynomial system of equations



This system has a solution iff the Petersen graph is 3-colorable.
$x_{0}^{3}-1=0, x_{1}^{3}-1=0, \quad x_{0}^{2}+x_{0} x_{1}+x_{1}^{2}=0, x_{0}^{2}+x_{0} x_{4}+x_{4}^{2}=0$,
$x_{2}^{3}-1=0, x_{3}^{3}-1=0, \quad x_{0}^{2}+x_{0} x_{5}+x_{5}^{2}=0, x_{1}^{2}+x_{1} x_{2}+x_{2}^{2}=0$,
$x_{4}^{3}-1=0, x_{5}^{3}-1=0, \quad x_{1}^{2}+x_{1} x_{6}+x_{6}^{2}=0, x_{2}^{2}+x_{2} x_{7}+x_{7}^{2}=0$,
$x_{6}^{3}-1=0, x_{7}^{3}-1=0$,
$x_{8}^{3}-1=0, x_{9}^{3}-1=0, \quad x_{6}^{2}+x_{6} x_{8}+x_{8}^{2}=0, x_{7}^{2}+x_{7} x_{9}+x_{9}^{2}=0$.

## Hilbert's Nullstellensatz

- Theorem: Let $\mathbb{K}$ be a field and $\overline{\mathbb{K}}$ its algebraic closure field. Let $f_{1}, \ldots, f_{s}$ be polynomials in $\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$. The system of equations $f_{1}=f_{2}=\cdots=f_{s}=0$ has no solution over $\overline{\mathbb{K}}$ if and only if there exist $\alpha_{1}, \ldots, \alpha_{s} \in \mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$ such that

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1=\sum_{i=1}^{s} \alpha_{i} f_{i}
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This polynomial identity is a Nullstellensatz certificate.

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This polynomial identity is a Nullstellensatz certificate.

- If $x \in \overline{\mathbb{K}}^{n}$ was a solution, then $\sum_{i=1}^{s} \alpha_{i}(x) f_{i}(x)=0 \neq 1$.
- Nullstellensatz certificates are certificates of infeasibility.
- Let $d=\max \left\{\operatorname{deg}\left(\alpha_{1}\right), \operatorname{deg}\left(\alpha_{2}\right), \ldots, \operatorname{deg}\left(\alpha_{s}\right)\right\}$. Then, we say that $d$ is the degree of the Nullstellensatz certificate.


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- This system has no solution over $\mathbb{C}$.


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For fixed degree, this is a linear algebra problem!!
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$$

- This system has no solution over $\mathbb{C}$.
- Does this system have a Nullstellensatz certificate of degree 1 ?

$$
\begin{aligned}
1 & =\underbrace{\left(c_{0} x_{1}+c_{1} x_{2}+c_{2} x_{3}+c_{3}\right)}_{\alpha_{1}} \underbrace{\left(x_{1}^{2}-1\right)}_{f_{1}}+\underbrace{\left(c_{4} x_{1}+c_{5} x_{2}+c_{6} x_{3}+c_{7}\right)}_{\alpha_{2}} \underbrace{\left(x_{1}+x_{2}\right)}_{f_{2}} \\
& +\underbrace{\left(c_{8} x_{1}+c_{9} x_{2}+c_{10} x_{3}+c_{11}\right)}_{\alpha_{4}} \underbrace{\left(x_{1}+x_{3}\right)}_{f_{3}}+\underbrace{\left(c_{12} x_{1}+c_{13} x_{2}+c_{14} x_{3}+c_{15}\right)}_{f_{4}} \underbrace{\left(x_{2}+x_{3}\right)}_{\alpha_{4}}
\end{aligned}
$$

- Expand the Nullstellensatz certificate grouping by monomials.

$$
\begin{aligned}
& 1=c_{0} x_{1}^{3}+c_{1} x_{1}^{2} x_{2}+c_{2} x_{1}^{2} x_{3}+\left(c_{3}+c_{4}+c_{8}\right) x_{1}^{2}+\left(c_{5}+c_{13}\right) x_{2}^{2}+\left(c_{10}+c_{14}\right) x_{3}^{2} \\
& +\left(c_{4}+c_{5}+c_{9}+c_{12}\right) x_{1} x_{2}+\left(c_{6}+c_{8}+c_{10}+c_{12}\right) x_{1} x_{3}+\left(c_{6}+c_{9}+c_{13}+c_{14}\right) x_{2} x_{3} \\
& +\left(c_{7}+c_{11}-c_{0}\right) x_{1}+\left(c_{7}+c_{15}-c_{1}\right) x_{2}+\left(c_{11}+c_{15}-c_{2}\right) x_{3}-c_{3}
\end{aligned}
$$

- Extract a linear system of equations from expanded certificate.

$$
c_{0}=0, \ldots, c_{3}+c_{4}+c_{8}=0, c_{11}+c_{15}-c_{2}=0,-c_{3}=1
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- Solve the linear system. This linear system is feasible, so we have found a certificate and proven the polynomial system is infeasible. Note: the linear system is over $\mathbb{R}$ and not $\mathbb{C}$.
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- Reconstruct the Nullstellensatz certificate from a solution of the linear system.

$$
1=-\left(x_{1}^{2}-1\right)+\frac{1}{2} x_{1}\left(x_{1}+x_{2}\right)-\frac{1}{2} x_{1}\left(x_{2}+x_{3}\right)+\frac{1}{2} x_{1}\left(x_{1}+x_{3}\right)
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- If the linear system was not feasible, we would have had to try a higher degree.


## Bounds for the Nullstellensatz degree

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## Theorem: (Kollár)

The degree is bounded by $\max \{3, D\}^{n}$, where $n$ is the number of variables and $D=\max \left\{\operatorname{deg}\left(f_{1}\right), \operatorname{deg}\left(f_{2}\right), \ldots, \operatorname{deg}\left(f_{s}\right)\right\}$.

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But for $k$-coloring and independent sets, we have a better bound:
Theorem: (Lazard)
The degree is bounded by $n(D-1)$.

## NulLA: Nullstellensatz linear algebra algorithm

- Input: A system of polynomial equations

$$
F=\left\{f_{1}=0, f_{2}=0, \ldots, f_{s}=0\right\} .
$$

- Set $d=0$.
- While $d \leq$ HNBound and no solution found for $L_{d}$ :
- Construct a tentative Nullstellensatz certificate of degree $d$.
- Extract a linear system of equations $L_{d}$.
- Solve the linear system $L_{d}$.
- If there is a solution, then reconstruct the certificate and Output: F is INFEASIBLE.
- Else Set $d=d+1$.
- If $d=$ HNBound and no solution found for $L_{d}$, then Output: F is FEASIBLE.


## What is the performance of the NulLA algorithm for combinatorial problems??

## Nullstellensatz certificates for independents sets

Lemma: (De Loera, Lee, Margulies, Onn) If $P \neq N P$, then there must exist an infinite family of graphs without independent sets of size $k$ for whom the degree of a Nullstellensatz certificate grows with respect to $|V|$ and $|E|$.

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- E.g. The disjoint union of triangles has a Nullstellensatz certificate of degree at least $n / 3$ and at least $4^{n / 3}$ terms.



## Turán graph $T(5,3)$ : reduced certificate example



$$
\begin{aligned}
1= & \left(\frac{x_{1} x_{2}+x_{3} x_{4}}{12}-\frac{x_{1}+x_{2}+x_{3}+x_{4}+x_{5}}{12}-\frac{1}{4}\right)\left(x_{1}+x_{3}+x_{5}+x_{2}+x_{4}-4\right)+ \\
& \left(\frac{x_{4}}{12}+\frac{x_{2}}{12}+\frac{1}{6}\right) x_{1} x_{3}+\left(\frac{x_{2}}{12}+\frac{1}{6}\right) x_{1} x_{4}+\left(\frac{x_{2}}{12}+\frac{1}{6}\right) x_{1} x_{5}+\left(\frac{x_{4}}{12}+\frac{1}{6}\right) x_{2} x_{3}+ \\
& \frac{x_{2} x_{4}}{6}+\frac{x_{2} x_{5}}{6}+\left(\frac{x_{4}}{12}+\frac{1}{6}\right) x_{3} x_{5}+\frac{x_{4} x_{5}}{6}+\left(\frac{x_{2}}{12}+\frac{1}{12}\right)\left(x_{1}^{2}-x_{1}\right)+ \\
& \left(\frac{x_{1}}{12}+\frac{1}{12}\right)\left(x_{2}^{2}-x_{2}\right)+\left(\frac{x_{4}}{12}+\frac{1}{12}\right)\left(x_{3}^{2}-x_{3}\right)+\left(\frac{x_{3}}{12}+\frac{1}{12}\right)\left(x_{4}^{2}-x_{4}\right)+\frac{x_{5}^{2}-x_{5}}{12}
\end{aligned}
$$

## Nullstellensatz certificates for non-3-colorability

Theorem: (DLMO) Every Nullstellensatz certificate over $\mathbb{R}$ for non-3-colorability of a graph has degree at least four.

- A graph with a 4-clique subgraph has a Nullstellensatz certificate over $\mathbb{R}$ of minimal-degree exactly 4.


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Theorem: For a graph $G$, the following system of polynomial equations has a solution over $\overline{\mathbb{F}}_{2}$ iff $G$ is 3 -colorable.

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$$

- A graph with 4-clique subgraph has a Nullstellensatz certificate over $\mathbb{F}_{2}$ of minimal-degree exactly 1 .
- Note: the linear system we need to solve is over $\mathbb{F}_{2}$, so there are no numerical stability problems!!


## Experimental results for NulLA 3-colorability

| Graph | $\|V\|$ | $\|E\|$ | \#rows | \#cols | $d$ | sec |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| Mycielski 7 | 95 | 755 | 64,281 | 71,726 | 1 | 1 |
| Mycielski 9 | 383 | 7,271 | $2,477,931$ | $2,784,794$ | 1 | 269 |
| Mycielski 10 | 767 | 22,196 | $15,270,943$ | $17,024,333$ | 1 | 14835 |
| (8,3)-Kneser | 56 | 280 | 15,737 | 15,681 | 1 | 0 |
| (10, 4)-Kneser | 210 | 1,575 | 349,651 | 330,751 | 1 | 4 |
| (12,5)-Kneser | 792 | 8,316 | $7,030,585$ | $6,586,273$ | 1 | 467 |
| (13,5)-Kneser | 1,287 | 36,036 | $45,980,650$ | $46,378,333$ | 1 | 216105 |
| 1-Insertions_5 | 202 | 1,227 | 268,049 | 247,855 | 1 | 2 |
| 2-Insertions_5 | 597 | 3,936 | $2,628,805$ | $2,349,793$ | 1 | 18 |
| 3-Insertions_5 | 1,406 | 9,695 | $15,392,209$ | $13,631,171$ | 1 | 83 |
| ash331GPIA | 662 | 4,185 | $3,147,007$ | $2,770,471$ | 1 | 14 |
| ash608GPIA | 1,216 | 7,844 | $10,904,642$ | $9,538,305$ | 1 | 35 |
| ash958GPIA | 1,916 | 12,506 | $27,450,965$ | $23,961,497$ | 1 | 90 |

Table: DIMACS graphs without 4-cliques.

## Comparison with other graph coloring algorithms

- DSATUR a sequential coloring heuristic by Brelaz, 1979.
- A Branch-and-Cut algorithm for graph coloring (B\&C) by Isabel Méndez-Díaz and Paula Zabala (2006)


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|  |  |  |  | B\&C |  | DSATUR |  | NulLA |  |  |
| :---: | ---: | ---: | :---: | :---: | :---: | :---: | :---: | ---: | :---: | :---: |
| Graph | $\|V\|$ | $\|E\|$ | Ib | up | Ib | up | Ib | deg |  |  |
| sec |  |  |  |  |  |  |  |  |  |  |
| 4-Insertions_3.col | 79 | 156 | 3 | 4 | 2 | 4 | 4 | 1 |  |  |
| 3-Insertions_4.col | 281 | 1046 | 3 | 5 | 2 | 5 | 4 | 1 |  |  |
| 4-Insertions_4.col | 475 | 1795 | 3 | 5 | 2 | 5 | 4 | 1 |  |  |
| 2-Insertions_5.col | 597 | 3936 | 3 | 6 | 2 | 6 | 4 | 1 |  |  |
| 3-Insertions_5.col | 1,406 | 9695 | 3 | 6 | 2 | 6 | 4 | 1 |  |  |

## "This shouldn't work ... <br> but it does!"

Anonymous.

## Growth in Nullstellensatz degree

Lemma: (DLMO) If $P \neq N P$, then there must exist an infinite family of graphs without $k$-colorings for whom the degree of a Nullstellensatz certificate grows with respect to $|V|$ and $|E|$.

## Growth in Nullstellensatz degree

Lemma: (DLMO) If $\mathrm{P} \neq \mathrm{NP}$, then there must exist an infinite family of graphs without $k$-colorings for whom the degree of a Nullstellensatz certificate grows with respect to $|V|$ and $|E|$.

- 4-critical graphs by Mizuno-Nishihara are the ugliest non-3-colorable graphs for NulLA that we found.

| $G_{i}$ | $n$ | $m$ | \#row | \#col | deg | sec |
| :--- | :---: | :---: | ---: | ---: | :---: | ---: |
| $G_{0}$ | 10 | 18 | 336 | 319 | 1 | 0 |
| $G_{1}$ | 20 | 37 | 350,040 | 65,527 | 3 | 1 |
| $G_{2}$ | 30 | 55 | $1,844,857$ | $2,643,432$ | 4 | 52 |
| $G_{3}$ | 39 | 72 | $7,316,382$ | $9,008,930$ | 4 | 246 |
| $G_{4}$ | 49 | 90 | - | - | $\geq 5$ | - |

## What if NulLA cannot determine infeasibility?

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- Some simple preprocessing can help, but this is often not enough.


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Four key mathematical ideas are as follows:

- use finite fields,
- append redundant equations,
- use Alternative Nullstellensätze, and
- use symmetry.


## Appending redundant valid equations


degree 4 certificate
$7,585,826 \times 9,887,481$
over 4 hours

## Appending redundant valid equations



There are 25 triangles
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0=x+y+z
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## Appending redundant valid equations



There are 25 triangles

"Triangle" equation:
degree 4 certificate
$7,585,826 \times 9,887,481$
over 4 hours

$$
0=x+y+z
$$

Degree two triangle equation:

$$
0=x^{2}+y^{2}+z^{2}
$$

## Appending redundant valid equations


degree 4 certificate
$7,585,826 \times 9,887,481$
over 4 hours $\Downarrow$
degree 1 certificate
$4,626 \times 4,3464$
0.2 seconds

## Alternative Nullstellensätze

Theorem: The system of equations $f_{1}=f_{2}=\cdots=f_{s}=0$ has no solution if and only if there exist polynomials $\alpha_{1}, \ldots, \alpha_{s}$ and $g$ where $f_{1}=f_{2}=\cdots=f_{s}=0$ and $g=0$ has no solution such that

$$
g=\sum_{i=1}^{s} \alpha_{i} f_{i}
$$

- Note that $g=1$ is Hilbert's Nullstellensatz.


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Theorem: The system of equations $f_{1}=f_{2}=\cdots=f_{s}=0$ has no solution if and only if there exist polynomials $\alpha_{1}, \ldots, \alpha_{s}$ and $g$ where $f_{1}=f_{2}=\cdots=f_{s}=0$ and $g=0$ has no solution such that

$$
g=\sum_{i=1}^{s} \alpha_{i} f_{i}
$$

- Note that $g=1$ is Hilbert's Nullstellensatz.
E.g. This graph has a degree 4 certificate for non-3-colorability.

- If we use $g=x_{1} x_{8} x_{9}$, the graph has a degree 1 certificate.


## Using symmetry to shrink the linear system

Suppose that $F=\left\{f_{1}, \ldots, f_{s}\right\}$ is invariant under the action of a permutation group $P$ acting on the variables $x_{1}, \ldots, x_{n}$.

- So, for every permutation $p \in P$, we have $p(F)=F$.
- For graph $k$-coloring, $P$ is the automorphism group.


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- For graph $k$-coloring, $P$ is the automorphism group.
- Note: permuting a certificate gives another certificate!

$$
1=\sum_{i=1}^{s} \alpha_{i} f_{i} \Rightarrow 1=\sum_{i=1}^{s} p\left(\alpha_{i}\right) p\left(f_{i}\right) \Rightarrow 1=\sum_{i=1}^{s} \bar{\alpha}_{i} f_{i}
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$$

E.g. Consider $K_{4}$ and the cyclic group $P=\langle(2,3,4)\rangle$.

- A degree-one certificate for non-3-colorability of $K_{4}$ is

$$
\begin{aligned}
1= & c_{0}\left(x_{1}^{3}+1\right) \\
& +\left(c_{12}^{1} x_{1}+c_{12}^{2} x_{2}+c_{12}^{3} x_{3}+c_{12}^{4} x_{4}\right)\left(x_{1}^{2}+x_{1} x_{2}+x_{2}^{2}\right)+\left(c_{13}^{1} x_{1}+c_{13}^{2} x_{2}+c_{13}^{3} x_{3}+c_{13}^{4} x_{4}\right)\left(x_{1}^{2}+x_{1} x_{3}+x_{3}^{2}\right) \\
& +\left(c_{14}^{1} x_{1}+c_{14}^{2} x_{2}+c_{14}^{3} x_{3}+c_{14}^{4} x_{4}\right)\left(x_{1}^{2}+x_{1} x_{4}+x_{4}^{2}\right)+\left(c_{23}^{1} x_{1}+c_{23}^{2} x_{2}+c_{23}^{3} x_{3}+c_{23}^{4} x_{4}\right)\left(x_{2}^{2}+x_{2} x_{3}+x_{3}^{2}\right) \\
& +\left(c_{24}^{1} x_{1}+c_{24}^{2} x_{2}+c_{24}^{3} x_{3}+c_{24}^{4} x_{4}\right)\left(x_{2}^{2}+x_{2} x_{4}+x_{4}^{2}\right)+\left(c_{34}^{1} x_{1}+c_{34}^{2} x_{2}+c_{34}^{3} x_{3}+c_{34}^{4} x_{4}\right)\left(x_{3}^{2}+x_{3} x_{4}+x_{4}^{2}\right)
\end{aligned}
$$

## $K_{4}$ linear system matrix

|  | $c_{0}$ | $c_{12}^{1} c_{12}^{2} c_{12}^{3}$ | $c_{12}^{4}$ | $c_{13}^{1} c_{13}^{2} c_{13}^{3} c_{13}^{4}$ | $c_{14}^{1} c_{14}^{2} c_{14}^{3}$ | $c_{14}^{4}$ | $c_{13}^{1} c_{23}^{2} c_{23}^{3} c_{23}^{4}$ | $c_{24}^{1} c_{24}^{2} c_{24}^{3} c_{24}^{4}$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |

## $K_{4}$ linear system matrix



| 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ---: | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $x_{1}^{3}$ | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1}^{2} x_{2}$ | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1}^{2} x_{3}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $x_{1}^{2} x_{4}$ | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1} x_{2}^{2}$ | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1} x_{3}^{2}$ | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1} x_{4}^{2}$ | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1} x_{2} x_{3}$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $x_{1} x_{2} x_{4}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{1} x_{3} x_{4}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{2}^{3}$ | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $x_{3}^{3}$ | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $x_{4}^{3}$ | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| $x_{2}^{2} x_{3}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| $x_{3}^{2} x_{4}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| $x_{2} x_{4}^{2}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 |
| $x_{2}^{2} x_{4}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 1 |
| $x_{2} x_{3}^{2}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 |
| $x_{3} x_{4}^{2}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 0 |
| $x_{2} x_{3} x_{4}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 |

## $K_{4}$ linear system orbit matrix

|  | $\bar{c}_{0}$ | $\bar{c}_{12}^{1}$ | $\bar{c}_{12}^{2}$ | $\bar{c}_{12}^{3}$ | $\bar{c}_{12}^{4}$ | $\bar{c}_{23}^{1}$ | $\bar{c}_{23}^{2}$ | $\bar{c}_{24}^{2}$ | $\bar{c}_{34}^{2}$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\operatorname{Orb}(1)$ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1}^{3}\right)$ | 1 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1}^{2} x_{2}\right)$ | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1} x_{2}^{2}\right)$ | 0 | 1 | 1 | 0 | 0 | 2 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1} x_{2} x_{3}\right)$ | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{2}^{3}\right)$ | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 |
| $\operatorname{Orb}\left(x_{2}^{2} x_{3}\right)$ | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 1 |
| $\operatorname{Orb}\left(x_{2}^{2} x_{4}\right)$ | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 |
| $\operatorname{Orb}\left(x_{2} x_{3} x_{4}\right)$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |



| $\operatorname{Orb}(1)$ | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ---: | :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $\operatorname{Orb}\left(x_{1}^{3}\right)$ | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1}^{2} x_{2}\right)$ | 0 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1} x_{2}^{2}\right)$ | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{1} x_{2} x_{3}\right)$ | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| $\operatorname{Orb}\left(x_{2}^{3}\right)$ | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 0 |
| $\operatorname{Orb}\left(x_{2}^{2} x_{3}\right)$ | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 1 | 1 |
| $\operatorname{Orb}\left(x_{2}^{2} x_{4}\right)$ | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 |
| $\operatorname{Orb}\left(x_{2} x_{3} x_{4}\right)$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |

- This reduced matrix has a solution if and only if the original matrix has a solution.


## Different encodings: the good, the bad, and the ugly

The good:

- Is a graph 3-colorable?
- Is a graph 2-colorable?


## Different encodings: the good, the bad, and the ugly

The good:

- Is a graph 3-colorable?
- Is a graph 2-colorable?

The bad:

- Does a graph have an independent set of size $k$ ?


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The ugly:

- Is a binary knapsack problem feasible? (Weismantel).
- Does a bipartite graph have a perfect matching?


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- Is a graph 2-colorable?

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- Does a graph have an independent set of size $k$ ?

The ugly:

- Is a binary knapsack problem feasible? (Weismantel).
- Does a bipartite graph have a perfect matching?

The promising:

- Does a graph have a cycle of length $k$ (Hamiltonian cycle)?
- Does a graph have a $k$-colorable subgraph with $r$ edges?
- Does a graph have a planar subgraph with $k$ edges?


## THANK YOU!

- J.A. De Loera, J. Lee, P.N. Malkin, S. Margulies, Hilbert's Nullstellensatz and an Algorithm for Proving Combinatorial Infeasibility, Proc. ISSAC'08, ACM, pages 197-206.
- NulLA: Software will be available soon under COIN-OR.


## Comparison with Gröbner basis (dual) method

Gröbner basis (dual) method: A graph is $k$-colorable if and only if the Gröbner basis of the ideal generated by the vertex and edge polynomials is trivial, that is, the Gröbner basis is $\{1\}$.

| Graphs | $\|V\|$ | $\|E\|$ | GB (CoCoA) | NulLA |
| :--- | ---: | ---: | ---: | ---: |
| Wheel 501 | 502 | 1,002 | 127 | 16 |
| Wheel 1001 | 1,002 | 2,002 | 1,707 | 623 |
| Mycielski 8 | 191 | 2,360 | 9,015 | 8 |
| (10,4)-Kneser | 210 | 1,575 | 9,772 | 4 |
| 4-Insertions 4 | 475 | 1,795 | 1,596 | 3 |

Note: Lower bounds for the Nullstellensatz translate into lower bounds for the Gröbner basis method!

